REVISED EXPRESSION OF INTEREST (EOI) FOR PROVIDING LIFTS AND ESCALATORS ON LEASE BASIS FOR PHASE-IV OF DELHI METRO RAIL CORPORATION LTD.

---

**REVISED EOI ‘L&E’**

**ADDENDUM – 1**

- NOTICE OF INVITATION FOR EXPRESSION OF INTEREST
- INSTRUCTIONS TO APPLICANTS – Part – A (Lifts) & Part – B (Escalators)
- REPLIES TO PRE – EOI QUERIES
# ADDENDUM – 1 OF REVISED EOI “L&E”

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Documents</th>
<th>Page No.</th>
<th>Reference Clause</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.0</td>
<td>Notice of Invitation for EOI</td>
<td>4</td>
<td>--</td>
<td>Date &amp; Time Modified 2.0</td>
</tr>
<tr>
<td></td>
<td>Instructions to Applicants</td>
<td>16</td>
<td>A3.1 / S. No. 6, 7 &amp; 8</td>
<td>Clause Modified</td>
</tr>
<tr>
<td>3.0</td>
<td>Instructions to Applicants</td>
<td>17</td>
<td>A3.1 / Note 5, 9, 10 &amp; 11</td>
<td>Clause Modified</td>
</tr>
<tr>
<td>4.0</td>
<td>Instructions to Applicants</td>
<td>20</td>
<td>C1.8</td>
<td>Clause Added</td>
</tr>
<tr>
<td>5.0</td>
<td>Instructions to Applicants</td>
<td>21</td>
<td>D2.1</td>
<td>Clause Modified</td>
</tr>
<tr>
<td>6.0</td>
<td>Instructions to Applicants</td>
<td>24</td>
<td>Check Sheet / S. No. 4</td>
<td>Clause Added</td>
</tr>
<tr>
<td>7.0</td>
<td>Instructions to Applicants</td>
<td>34</td>
<td>Annexure – III D</td>
<td>Clause Modified</td>
</tr>
<tr>
<td>8.0</td>
<td>Instructions to Applicants</td>
<td>52</td>
<td>A3.1 / S. No. 6, 7 &amp; 8</td>
<td>Clause Modified</td>
</tr>
<tr>
<td>9.0</td>
<td>Instructions to Applicants</td>
<td>53</td>
<td>A3.1 / Note 5, 9, 10 &amp; 11</td>
<td>Clause Modified</td>
</tr>
<tr>
<td>10.0</td>
<td>Instructions to Applicants</td>
<td>56</td>
<td>C1.8</td>
<td>Clause Added</td>
</tr>
<tr>
<td>11.0</td>
<td>Instructions to Applicants</td>
<td>57</td>
<td>D2.1</td>
<td>Clause Modified</td>
</tr>
<tr>
<td>12.0</td>
<td>Instructions to Applicants</td>
<td>59</td>
<td>Check Sheet / S. No. 4</td>
<td>Clause Added</td>
</tr>
<tr>
<td>13.0</td>
<td>Instructions to Applicants</td>
<td>69</td>
<td>Annexure – III D</td>
<td>Clause Modified</td>
</tr>
<tr>
<td>14.0</td>
<td>Instructions to Applicants</td>
<td>--</td>
<td>Annexure – III D – Part A / Lifts</td>
<td>Format Added</td>
</tr>
<tr>
<td>15.0</td>
<td>Instructions to Applicants</td>
<td>--</td>
<td>Annexure – III D – Part B / Escalators</td>
<td>Format Added</td>
</tr>
</tbody>
</table>
DMRC REVISED EOI ‘L&E’  
Notice of Invitation for Expressions of Interest

enclosed with this Notice of Invitation.

EOI Documents comprise of:
- Notice of Invitation
- Instructions to Applicants – PART – A & PART – B.

The Evaluation and Determination of Responsiveness of EOI and subsequently RFP submission shall solely be carried out by DMRC.

The EOIs for this Contract will be considered only from those companies, corporations, partnerships, consortia and joint ventures who shall pass the Initial Eligibility based on submissions with the EOI. Only such eligible applicants will be allowed to participate in the next stage of the project, i.e. RFP.

Key details:

<table>
<thead>
<tr>
<th>Description</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Expected Completion period of the Work</td>
<td>28 years considering the 3 years initial installation period including commissioning of lifts &amp; escalators works and considering the life cycle of each Lift &amp; Escalator as 25 years</td>
</tr>
<tr>
<td>EOI documents release date</td>
<td>12.02.2018. For further information on this regard bidders are advised to contact DMRC on 011-23417923</td>
</tr>
<tr>
<td>Cost of EOI documents</td>
<td>NIL (All documents uploaded on DMRC website- <a href="http://www.delhimetrorail.com">www.delhimetrorail.com</a>)</td>
</tr>
<tr>
<td>Last date of Seeking Clarification</td>
<td>12.03.2018 &amp; 16.04.2018 (Queries from applicants after due date shall not be acknowledged)</td>
</tr>
<tr>
<td>1st Pre-EOI Meeting</td>
<td>15.03.18 at 15:00 hrs</td>
</tr>
<tr>
<td>2nd Pre – EOI Meeting</td>
<td>02.05.18 at 11:30 hrs</td>
</tr>
<tr>
<td>Last date of issuing DMRC’s response to queries</td>
<td>22.03.2018 &amp; 06.06.18</td>
</tr>
<tr>
<td>Date &amp; time of Submission of EOIs</td>
<td>10.07.18 08.08.18 upto 11:00 hrs</td>
</tr>
<tr>
<td>Date &amp; time of opening of EOIs</td>
<td>10.07.18 08.08.18@ 11:15 hrs</td>
</tr>
<tr>
<td>Authority and place for submission of EOIs and seeking clarifications</td>
<td>Chief Electrical Engineer / Planning Delhi Metro Rail Corporation Ltd., 7th Floor, Metro Bhawan, Fire Brigade Lane, Barakhamba Road, New Delhi - 110001</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td><strong>5</strong></td>
<td>Average annual financial turnover during the last 3 financial years (as applicable in the country of origin), should be at least INR 281 million.</td>
</tr>
<tr>
<td><strong>A1</strong></td>
<td>Experience of having either successfully Project(s) financed / completed works during last 10 years ending last day of month previous to the one in which applications are invited should be either of the following:</td>
</tr>
<tr>
<td></td>
<td>a. Three completed project finance / completed works* each having costing not less than the amount equal to INR 300 million.</td>
</tr>
<tr>
<td></td>
<td>or</td>
</tr>
<tr>
<td><strong>A1</strong></td>
<td>b. Two completed project finance / completed works* each having costing not less than the amount equal to INR 400 million.</td>
</tr>
<tr>
<td><strong>A1</strong></td>
<td>or</td>
</tr>
<tr>
<td><strong>A1</strong></td>
<td>c. One completed project finance/completed work* costing not less than the amount equal to INR 650 million.</td>
</tr>
<tr>
<td><strong>A1</strong></td>
<td>*Commissioned portion of ongoing / partially completed works shall also be considered.</td>
</tr>
<tr>
<td><strong>7</strong></td>
<td><strong>Delivery Record for Heavy Duty Machine Room Less Lifts</strong></td>
</tr>
<tr>
<td></td>
<td>Has the Applicant/Consortium/JV or its member(s), individually or jointly as a member of other Consortia/JV have completed work(s) during last 10 years as on date of submission as given below:</td>
</tr>
<tr>
<td><strong>A1</strong></td>
<td>'Experience of Supply, Installation, Testing and commissioning of minimum 60 Heavy Duty Machine Room Less Lifts in MRTS / Metro Stations / Railways Stations / Airports / Sub – Urban Railways. The Tenderer shall provide customer reference letter/s / completion certificates.'</td>
</tr>
<tr>
<td><strong>8</strong></td>
<td><strong>Operation performance for Heavy Duty Machine Room Less Lifts</strong></td>
</tr>
<tr>
<td><strong>A1</strong></td>
<td>Minimum 60 Machine Room Less Heavy-Duty lifts should be completed satisfactorily in MRTS / Metro Stations / Airports / Railways Stations / Sub – Urban Railways which are are in revenue operation for more than 2 years (i.e. in operation prior to June, 2016).</td>
</tr>
</tbody>
</table>

**Note:**

1. Ten (10) years’/Last ten (10) years’ means the period of last ten (10) years counted from the twenty eight days prior to ‘date for EOI submission’. E.g. say, if date for EOI submission is 20.10.2017, then ‘twenty eight days prior to date for EOI submission’ will be 22.09.2017 and last ten (10) years would mean from 23.09.2007 to 22.09.2017.
   In case of postponement(s) in ‘date for EOI submission’, if any, last ten (10) years would mean from 23.09.2007 to twenty eight days prior to postponed ‘date for EOI submission’.

2. A ‘YES’ answer to any question 1, 2 or 3 will disqualify the Applicant.

3. A ‘NO’ answer to any question 4, 5, 6, 7 or 8 will disqualify the Applicant.

4. In the case of a Joint Venture / Consortium each Individual member must qualify individually in the ‘Eligibility of Applicants – Check List’, except for Criteria at serial number 5 to 8.

A1  **4.1** The criterion at s. no. 5 & 6 is applicable for the member providing finance (herein after referred as “Financial Institution” (FI), who shall be part of the JV/Consortium/SPV) or by the individual company / applicant.

A1  Financial data for latest last three financial years has to be submitted by the bidder in along with audited financial statements. The financial data in the prescribed format shall be certified by the Chartered Accountant / C.F.A. with his stamp and signature in original.

A1  **4.2** The criteria at s. no. 7, 8 are applicable for Lifts & Escalators manufacturer (LEM) who can participate either as an Individual Company / Applicant or member of the JV/Consortium/SPV OR as a sub-contractor.

The bidder shall submit details of works executed by them for qualification of work experience criteria. Documentary proof such as completion certificates from client clearly indicating the nature / scope of work, actual completion cost and actual date of completion for such work should be submitted. In case the work is executed for private client, copy of work order, bill of quantities, bill wise details of payment received certified by C.A., T.D.S. Certificates for all payments received and copy of final / last bill paid by client shall also be submitted.
5. **Not Used** In the case of a Joint Venture/Consortium, the evaluation for the criteria at S. No. 5 will be done in totality (algebraic aggregate of the evaluation of each member) and not as individual member. However, for individual firm participating in the criteria is to be done by party alone.

Financial data for last three financial years has to be submitted by the bidder in along with audited financial statements. The financial data in the prescribed format shall be certified by the Chartered Accountant / C.F.A. with his stamp and signature in original.

6. The applicant shall declare the lead member of the consortium/JV at EOI stage.

7. Change in the composition of EOI qualified applicant before RFP may be permitted by DMRC at its sole discretion on receipt of specific written request from the qualified Applicant subject to the following conditions:
   a. The new Applicant must qualify the initial eligibility criteria - Part – A of the Revised EOI, and
   b. There shall be no change in ‘Lead Member’.

8. After completion of 7 (seven) years from the receipt of last Lift, change in composition of the Consortium/JV/SPV may be permitted by DMRC at its sole discretion on receipt of specific written request from the Lessor during the Contract period.

9. **Not Used** The Applicant participating as JV / Consortium / Sub – Contractor / SPV shall form a part of a Consortium / JV / SPV Agreement.

10. A ‘FI’ at EOI Stage can participate independently. However at the RFP Stage, it will have to involve LEM, either as a member of the Consortium / JV / SPV or as a Sub – Contractor. In such case, the Applicants shall furnish a certificate at EOI Stage that they will include LEM, who shall qualify the criteria at S. No. 7 & 8 above at RFP Stage. In this case, the answer to questions at S. No. 7 & 8 shall be ‘Not Applicable’.

11. Similarly, ‘LEM’ at EOI Stage can also participate independently. In such case, the LEM is required to qualify the ‘Eligibility Criteria – Checklist’ except criteria at S. No. 5 & 6 above at EOI Stage. Also the Applicant shall furnish a certificate at EOI Stage that they will either include Fl(s) by forming a JV / Consortium / SPV or submit the qualifying documents against criteria at S. No. 5 & 6 above at RFP Stage. In this case, the answer to questions at S. No. 5 & 6 shall be ‘Not Applicable’.

### A3.2 One EOI per Applicant

Each Applicant shall submit only one EOI – Part – A. If an Applicant submits an EOI in his own name and at the same time participates and submits an EOI as a member of a consortium/joint venture, both applicants will be disqualified. However, a Lifts & Escalators manufacturers can participate as an individual company, either as a member of any JV / Consortium / SPV bidding for this EOI or as a sub vendor to other Applicant(s).

### A5 Payments to the Lessor

The payment terms shall be detailed in the RFP Document and shall be based on RAMS of Lifts as provided in Clause A2.9 of this document. Minimum guaranteed demand shall also be included in the RFP document. The payment to the Lessor shall be on quarterly lease / rent basis.

### A6 Site Visits

The Applicant is advised to visit existing DMRC Stations & Depots and examine the facilities available, site and surroundings and obtain for himself on his own responsibility all information that may be necessary for preparing the EOI for the proposed Works. The costs of visiting the Site shall be borne by the Applicant. It shall be deemed that the Applicant has undertaken a visit to the site of Works and is aware of the site conditions prior to the submission of the EOI.

The Applicant and any of his personnel will be granted permission by the Lessee to enter upon his premises and lands for the purpose of such inspection, but only upon the express condition that the Applicant, and his personnel, will release and indemnify the Lessee and his personnel from and against all liability in respect thereof and will be responsible for death or personal injury, loss of or damage to property and any other loss, damage, costs and expenses incurred as a result of the inspection.
C1.5 Power of Attorney for each member and for leader, as applicable

The Applicants to qualify for award of Contract shall submit a written power of attorney bearing specimen signatures of the authorised signatories of the EOI to commit each member of the partnership, consortium or joint venture. In case of Foreign Partners, Power of Attorney(s) and Board Resolution/Commercial Register confirming authority on the persons issuing the Power of Attorney for such actions, shall be submitted duly notarized by the notary public of country of origin and should be stamped by Embassy/High Commission. Applicants from Member Countries of Hague convention may submit all these documents with “Apostille” stamp instead of Embassy. In case, bidder is not able to submit the above documents at EOI stage then he shall submit an undertaking as per Annexure – III A i.e. ‘Undertaking for submission of document’ otherwise his bid may be summarily rejected.

C1.6 Documents supporting Initial Eligibility Criteria

Documents in support of checklist provided in Initial Eligibility Criteria, Clauses A3 and A3.1 of this ITA.

C1.7 Financing structure

In order to have a sustainable and technically & financially sound proposal, the lessee shall propose a suitable financial structure for the Applicant.

C1.8 Guarantees and Warranties

The Applicant shall submit full details of the identity of the proposed parties who would respectively provide or issue:

(a) The Performance Guarantee;
(b) Parent company Undertakings;
(c) Parent company Guarantees

At EOI Stage Financial Bank Guarantee such as Performance Guarantee shall not require to be submitted. The submission check sheet is enclosed for reference.

If the Applicant comprises of a consortium or joint venture, a parent company of each member or participant will be required to execute the Undertakings and Guarantees referred to in sub-paragraphs (b) and (c) above.

The requisite Undertaking and Guarantee shall be as per format in ‘Annexure – III B & C’, if applicable.

The Parent Company Guarantee and Parent Company Undertaking are not required during EOI Stage for the companies meeting eligibility criteria based on their own documents / records / credentials. However, Parent Company Guarantee and Parent Company Undertaking will have to be submitted during RFP Stage and an Undertaking regarding this, is to be submitted with EOI in the format provided as ‘Annexure – III D’.

C1.9 Currencies of Bid & Payment:

The Prices shall be quoted by the Bidder in Indian Rupees (INR) only.

C1.10 Revised EOI documents (including amendments) duly signed by each member

A form of certificate confirming careful examination of all the contents of Revised EOI – Part – A documents including EOI addenda and signing of all pages of the Applicant’s proposal shall be furnished along with the EOI proposal. It should be submitted by each constituent member in case of a Joint Venture or Consortium, as per ‘Annexure-Il’.

C2 Pre-EOI Meeting

C2.1 The prospective Applicants or their official representatives are invited to attend a pre-EOI meeting, which will be held on the date, time and location indicated in NIE.

C2.2 The purpose of the meeting will be to clarify issues and to answer questions on any matter that may be raised with respect to this EOI at that stage.

C2.3 The Applicants are requested to submit any questions in writing or by email, to reach the Lessee before or during the meeting.
C2.4 Minutes of the meeting, including the text of the questions raised and the responses given will be transmitted without delay to by mail to all such Applicants, who either attend the Pre-EOI meeting or intimate in writing that they would like to receive the clarifications. Any modification of the Revised EOI documents listed in paragraph B3 which may become necessary as a result of the pre-EOI meeting shall be made by the Lessee exclusively through the issue of an Addendum pursuant to paragraph B4 and not through the minutes of the pre-EOI meeting.

C2.5 Non-attendance at the Pre-EOI meeting will not be a cause for disqualification of an Applicant.

D. Submission of EOI

D1 Submission Address and Date
All documents corresponding to ‘Initial Eligibility’ shall be annexed in a separate folder along with Check Sheet, which shall be a part of the Revised EOI – Part – A. All the envelopes shall be addressed to the Lessee at the following address:
   To,
   The Managing Director,
   Delhi Metro Rail Corporation,
   Office of CEE / PAG.
   7th Floor B Wing,
   Metro Bhawan, Fire Brigade Lane,
   Barakhamba Road,
   New Delhi-110001

EOIs must be received at the address specified above, not later than the date and time noted in NIE.

D2 Sealing and Marking of EOI

D2.1 The Applicant shall submit the EOI Parent Company Guarantee & Undertaking in a separate envelope, if applicable. The Applicant shall seal the Original and each copy of the Revised EOI – Part – A in separate envelopes, duly marking the envelopes as “Original”, “Copy 1” and “Copy 2”.

D2.2 If the envelopes are not sealed and marked as above the Lessee will assume no responsibility for the misplacement or premature opening of the EOI.

D3 Late or Delayed EOI

D3.1 EOI's must be received by the Chief Electrical Engineer / Planning, at the address specified above, not later than the date and time noted in NIE. If the due date is declared to be a public holiday due to unforeseen reasons, the EOI's shall be submitted and opened at the same time on the next working day. The Lessee may, at his discretion, extend the deadline for submission of EOI's by issuing an amendment in accordance with paragraph B3, in which case all rights and obligations of the Lessee and the Applicant previously subject to the original deadline will thereafter be subject to the deadline as extended.

D3.2 Any EOI received by the Engineer after the deadline for submission of EOI's stipulated above will be returned unopened to the Applicant.

E. EOI Opening and Evaluation
## CHECK SHEET FOR EXPRESSION OF INTEREST FOR LIFTS – Part – A (Lifts) OF PHASE – IV SUBMISSION

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Annexure</th>
<th>Description</th>
<th>Submitted</th>
<th>Submitted on Page No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Annexure – I</td>
<td>Application for Initial Eligibility</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Annexure – I</td>
<td>Letter of Participation &amp; General Information from each member of a Joint Venture / Consortium</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Annexure – II</td>
<td>Certificate confirming careful examination of all the contents of EOI documents and signing of all pages of Applicant’s proposal</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| 4      | Annexure – III | A. Undertaking for Submission of Document
B. Format of Parent Company Undertaking
C. Format of Parent Company Guarantee
D. Undertaking for Submission of Parent Company Undertaking & Guarantee |           |                       |
| 5      | --       | Memorandum of Understanding
Or
JV / Consortium Agreement |           |                       |
| 6      | --       | Power of Attorney                                                                 |           |                       |
| 7      | --       | Certified copy of the last 3 years Income Tax Return, duly acknowledged by Income Tax Department for Indian Applicant / Indian member.
Or
Certified copy of latest Financial year Income Tax Return (only in case company was formed more than a year ago) duly acknowledged by Income Tax Department |           |                       |
| 8      | --       | Undertaking from Applicant regarding non – abandonment in the last ten (10) years |           |                       |
| 9      | --       | Undertaking from Applicant to confirm bankruptcy / insolvency in last ten (10) years |           |                       |
| 10     | --       | Undertaking from Applicant to confirm non – debarment by Government of India / any state Government in India / Central / State undertaking as on the due date of submission of bid |           |                       |
| 11     | --       | Documents to ascertain Average Annual Financial Turnover during the last 3 financial years |           |                       |
| 12     | --       | Documents to ascertain Experience of project(s) financed / works during last 10 years ending last day of month previous to the one in which applications are invited |           |                       |
| 13     | --       | Documents to ascertain delivery record for Heavy duty Lifts                   |           |                       |
| 14     | --       | Documents to ascertain operation performance for Heavy duty Lifts             |           |                       |

**SIGNATURE OF APPLICANT**

*In case of a joint venture or consortium, to be submitted by each constituent member.*
REVISED EXPRESSION OF INTEREST (EOI) FOR PROVIDING
ESCALATORS ON LEASE BASIS FOR PHASE-IV OF DELHI METRO RAIL
CORPORATION LTD.

Annexure – III
EOI ‘L&E’
PART – A (Lifts)

III A. UNDERTAKING FOR SUBMISSION OF DOCUMENTS

III B. PARENT COMPANY UNDERTAKING

III C. PARENT COMPANY GUARANTEE

&

III D. UNDERTAKING FOR SUBMISSION OF PARENT COMPANY
UNDERTAKING & GUARANTEE
<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>Has the Applicant certified that no agent / middleman has been or will be engaged or any agency commission been or will be paid?</td>
</tr>
<tr>
<td>5</td>
<td>Average Annual financial turnover during the last 3 financial years (as applicable in the country of origin), should be at least INR 995 million.</td>
</tr>
</tbody>
</table>
|6 | Experience of having either successfully Project(s) financed / completed works during last 10 years ending last day of month previous to the one in which applications are invited should be either of the following: -
| | a. Three completed project finance / completed works* having costing not less than the amount equal to INR 1150 million. |
| | or |
| | b. Two completed project finance / completed works* having costing not less than the amount equal to INR 1450 million. |
| | or |
| | c. One completed project finance/ completed work* costing not less than the amount equal to INR 2350 million. |
| | *Commissioned portion of ongoing / partially completed works shall also be considered. |

### Delivery Record for Heavy Duty Escalators

Has the Applicant/Consortium/JV or its member(s), individually or jointly as a member of other Consortia/JV have completed work(s) during last 10 years as on date of submission as given below:

- Experience of Supply, Installation, Testing and commissioning of minimum 60 Heavy Duty Escalators in MRTS / Metro Stations / Railways Stations / Airports / Sub – Urban Railways. The Tenderer shall provide customer reference letter’s / completion certificates.

### Operation performance for Heavy Duty Escalators

- Minimum 60 Heavy duty escalators should be completed satisfactorily in MRTS / Metro Stations / Airports / Railways Stations / Sub – Urban Railways and which are in revenue operation for more than 2 years (i.e. In operation prior to June, 2016).

**Note:**

1. Ten (10) years/Last ten (10) years’ means the period of last ten (10) years counted from the twenty eight days prior to ‘date for EOI submission’. E.g. say, if date for EOI submission is 20.10.2017, then ‘twenty eight days prior to date for EOI submission’ will be 22.09.2017 and last ten (10) years would mean from 23.09.2007 to 22.09.2017. In case of postponement(s) in ‘date for EOI submission’, if any, last ten (10) years would mean from 23.09.2007 to twenty eight days prior to postponed ‘date for EOI submission’.

2. A 'YES' answer to any question 1, 2 or 3 will disqualify the Applicant.

3. A 'NO' answer to any question 4, 5, 6, 7 or 8 will disqualify the Applicant.

4. In the case of a Joint Venture/Consortium each individual member must qualify individually in the ‘Eligibility of Applicants – Check List’, except for Criteria at serial number 5 to 8.

4.1 The criterion at s. no. 5 & 6 is applicable for the member providing finance (herein after referred as “Financial Institution” (FI), who shall be part of the JV/Consortium/SPV) or by the individual company / applicant.

- Financial data for latest last three financial years has to be submitted by the bidder in along with audited financial statements. The financial data in the prescribed format shall be certified by the Chartered Accountant / C.F.A. with his stamp and signature in original.

4.2 The criteria at s. no. 7, 8 are applicable for Lifts & Escalators manufacturer (LEM) who can participate either as an Individual Company / Applicant or member of the JV/Consortium/SPV OR as a sub-contractor.

The bidder shall submit details of works executed by them for qualification of work experience criteria. Documentary proof such as completion certificates from client clearly indicating the nature / scope of work, actual completion cost and actual date of completion for such work should be submitted. In case the work is executed for private client, copy of work order, bill of quantities, bill wise details of payment received certified by C.A., T.D.S.
Certificates for all payments received and copy of final / last bill paid by client shall also be submitted.

5. Not Used In the case of a Joint Venture/Consortium, the evaluation for the criteria at S. No. 5 will be done in totality (algebraic aggregate of the evaluation of each member) and not as individual member. However for individual firm participating in the criteria is to be done by party alone. Financial data for latest last three financial years has to be submitted by the bidder in along with audited financial statements. The financial data in the prescribed format shall be certified by the Chartered Accountant / C.F.A. with his stamp and signature in original.

6. The applicant shall declare the lead member of the consortium/JV at EOI stage.

7. Change in the composition of EOI qualified applicant before RFP may be permitted by DMRC at its sole discretion on receipt of specific written request from the qualified Applicant subject to the following conditions:
   a. The new Applicant must qualify the initial eligibility criteria – Part – B of the EOI, and
   b. There shall be no change in ‘Lead Member’.

8. After completion of 7 (seven) years from the receipt of last Escalator, change in composition of the Consortium/JV/SPV may be permitted by DMRC at its sole discretion on receipt of specific written request from the Lessor during the Contract period.

9. Not Used The applicant participating as JV / Consortium / Sub – Contractor / SPV shall form a part of a Consortium / JV / SPV Agreement.

10. A ‘FI’ at EOI Stage can participate independently. However at the RFP Stage, it will have to involve LEM, either as a member of the Consortium / JV / SPV or as a Sub – Contractor. In such case, the Applicant shall furnish a certificate at EOI Stage that they will include LEM, who shall qualify the criteria at S. No. 7 & 8 above at RFP Stage. In this case, the answer to questions at S. No. 7 & 8 shall be ‘Not Applicable’.

11. Similarly, ‘LEM’ at EOI Stage can also participate independently. In such case, the LEM is required to qualify the ‘Eligibility Criteria – Checklist’ except criteria at S. No. 5 & 6 above at EOI Stage. Also the Applicant shall furnish a certificate at EOI Stage that they will either include FI(s) by forming a JV / Consortium / SPV or submit the qualifying documents against criteria at S. No. 5 & 6 above at RFP Stage. In this case, the answer to questions at S. No. 5 & 6 shall be ‘Not Applicable’.

A3.2 One EOI per Applicant
Each Applicant shall submit only one EOI – Part – B. If an Applicant submits an EOI in his own name and at the same time participates and submits an EOI as a member of a consortium/joint venture, both applicants will be disqualified. However, a Lifts & Escalators manufacturers can participate either as a member of any JV/Consortium bidding for this EOI or as a sub vendor to other Applicant (s).

A5 Payments to the Lessor
The payment terms shall be detailed in the RFP Document and shall be based on RAMS of Escalators as provided in Clause A2.9 of this document. Minimum guaranteed demand shall also be included in the RFP document. The payment to the Lessor shall be on quarterly lease / rent basis.

A6 Site Visits
The Applicant is advised to visit existing DMRC Stations & Depots and examine the facilities available, site and surroundings and obtain for himself on his own responsibility all information that may be necessary for preparing the EOI for the proposed Works. The costs of visiting the Site shall be borne by the Applicant. It shall be deemed that the Applicant has undertaken a visit to the site of Works and is aware of the site conditions prior to the submission of the EOI.

The Applicant and any of his personnel will be granted permission by the Lessee to enter upon his premises and lands for the purpose of such inspection, but only upon the express condition that the Applicant, and his personnel, will release and indemnify the Lessee and his personnel from and against all liability in respect thereof and will be responsible for death or personal injury, loss of or damage to property and any other loss, damage, costs and expenses incurred as a result of the inspection.

B. REVISED EOI Documents
B1 Content of Revised EOI Documents
The Revised EOI Documents, as listed below, have been prepared for the purpose of inviting EOI s for providing Escalator on lease basis in the DMRC network of Phase – IV and as more particularly described in these documents.
the persons issuing the Power of Attorney for such actions, shall be submitted duly notarized by the notary public of country of origin and should be stamped by Embassy/High Commission. Applicants from Member Countries of Hague convention may submit all these documents with ‘Apostille’ stamp instead of Embassy. In case, bidder is not able to submit the above documents at EOI stage then he shall submit an undertaking as per Annexure – III A i.e. ‘Undertaking for submission of document’ otherwise his bid may be summarily rejected.

C1.6 Documents supporting Initial Eligibility Criteria
Documents in support of checklist provided in Initial Eligibility Criteria, Clauses A3 and A3.1 of this ITA.

C1.7 Financing structure
In order to have a sustainable and technically & financially sound proposal, the lessee shall propose a suitable financial structure for the Applicant.

C1.8 Guarantees and Warranties
The Applicant shall submit full details of the identity of the proposed parties who would respectively provide or issue:
(a) The Performance Guarantee;
(b) Parent company Undertakings;
(c) Parent company Guarantees
At Pre – EOI Stage, the submission of Financial Bank Guarantee such as Performance Guarantee is not required. The submission check sheet is enclosed for reference.

If the Applicant comprises of a consortium or joint venture, a parent company of each member or participant will be required to execute the Undertakings and Guarantees referred to in sub-paragraphs (b) and (c) above.

The requisite Undertaking and Guarantee shall be as per format in ‘Annexure – III B & C’, if applicable.

The Parent Company Guarantee and Parent Company Undertaking are not required during EOI Stage for the companies meeting eligibility criteria based on their own documents / records / credentials. However, Parent Company Guarantee and Parent Company Undertaking will have to be submitted during RFP Stage and an Undertaking regarding this, is to be submitted with EOI in the format provided as ‘Annexure – III D’.

C1.9 Currencies of Bid & Payment:
The Prices shall be quoted by the Bidder in Indian Rupees (INR) only.

C1.10 Revised EOI documents (including amendments) duly signed by each member
A form of certificate confirming careful examination of all the contents of Revised EOI – Part – A documents including EOI addenda and signing of all pages of the Applicant’s proposal shall be furnished along with the EOI proposal. It should be submitted by each constituent member in case of a Joint Venture or Consortium, as per ‘Annexure-Il’.

C2 Pre-EOI Meeting
C2.1 The prospective Applicants or their official representatives are invited to attend a pre-EOI meeting, which will be held on the date, time and location indicated in NIE.

C2.2 The purpose of the meeting will be to clarify issues and to answer questions on any matter that may be raised with respect to this EOI at that stage.

C2.3 The Applicants are requested to submit any questions in writing or by email, to reach the Lessee before or during the meeting.

C2.4 Minutes of the meeting, including the text of the questions raised and the responses given will be transmitted without delay to by mail to all such Applicants, who either attend the Pre-EOI meeting or intimate in writing that they would like to receive the clarifications. Any modification of the Revised EOI documents listed in paragraph B3 which may become necessary as a result of the pre-EOI meeting shall be made by the Lessee exclusively through the issue of an Addendum pursuant to paragraph B4 and not through
the minutes of the pre-EOI meeting.

C2.5 Non-attendance at the Pre-EOI meeting will not be a cause for disqualification of an Applicant.

D. Submission of EOs

D1 Submission Address and Date

All documents corresponding to ‘Initial Eligibility’ shall be annexed in a separate folder along with Check Sheet, which shall be a part of the Revised EOI – Part – B. All the envelopes shall be addressed to the Lessee at the following address:

To,
The Managing Director,
Delhi Metro Rail Corporation,
Office of CEE / Ptg.
7th Floor B Wing,
Metro Bhawan, Fire Brigade Lane,
Barakambha Road,
New Delhi-110001

EOIs must be received at the address specified above, not later than the date and time noted in NIE.

D2 Sealing and Marking of EOI

A1

D2.1 The Applicant shall submit the EOI Parent Company Guarantee & Undertaking in a separate envelope, if applicable. The Applicant shall seal the Original and each copy of the Revised EOI – Part – B in separate envelopes, duly marking the envelopes as "Original", "Copy 1" and "Copy 2".

D2.2 If the envelope is not sealed and marked as above the Lessee will assume no responsibility for the misplacement or premature opening of the EOI.

D3 Late or Delayed EOIs

D3.1 EOIs must be received by the Chief Electrical Engineer / Planning, at the address specified above, not later than the date and time noted in NIE. If the due date is declared to be a public holiday due to unforeseen reasons, the EOIs shall be submitted and opened at the same time on the next working day. The Lessee may, at his discretion, extend the deadline for submission of EOIs by issuing an amendment in accordance with paragraph B3, in which case all rights and obligations of the Lessee and the Applicant previously subject to the original deadline will thereafter be subject to the deadline as extended.

D3.2 Any EOI received by the Engineer after the deadline for submission of EOIs stipulated above will be returned unopened to the Applicant.

E. EOI Opening and Evaluation

E1 EOI Opening

E1.1 The EOI will be opened at time and date as specified in NIE in the Office of CEE / Ptg., Metro Bhawan, New Delhi. The Applicants are allowed to be present at the time of opening.

The Lessee shall prepare a record of the opening that shall include, as a minimum: the name of the Applicant. The Applicants’ representatives who are present shall be
<table>
<thead>
<tr>
<th>S. No.</th>
<th>Annexure</th>
<th>Description</th>
<th>Submitted</th>
<th>Submitted on Page No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Annexure – I</td>
<td>Application for Initial Eligibility</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Annexure – I</td>
<td>Letter of Participation &amp; General Information from each member of a Joint Venture / Consortium</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Annexure – II</td>
<td>Certificate confirming careful examination of all the contents of EOI documents and signing of all pages of Applicant’s proposal</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
B. Format of Parent Company Undertaking  
C. Format of Parent Company Guarantee  
D. Undertaking for Submission of Parent Company Undertaking & Guarantee |           |                      |
| 5      | --         | Memorandum of Understanding  
Or  
JV / Consortium Agreement                                       |           |                      |
| 6      | --         | Power of Attorney                                                          |           |                      |
| 7      | --         | Certified copy of the last 3 years Income Tax Return, duly acknowledged by Income Tax Department for Indian Applicant / Indian member.  
Or  
Certified copy of latest Financial year Income Tax Return (only in case company was formed more than a year ago) duly acknowledged by Income Tax Department |           |                      |
| 8      | --         | Undertaking from Applicant regarding non – abandonment in the last ten (10) years |           |                      |
| 9      | --         | Undertaking from Applicant to confirm bankruptcy / insolvency in last ten (10) years |           |                      |
| 10     | --         | Undertaking from Applicant to confirm non – debarment by Government of India / any state Government in India / Central / State undertaking as on the due date of submission of bid |           |                      |
| 11     | --         | Documents to ascertain Average Annual Financial Turnover during the last 3 financial years |           |                      |
| 12     | --         | Documents to ascertain Experience of project(s) financed / works during last 10 years ending last day of month previous to the one in which applications are invited |           |                      |
| 13     | --         | Documents to ascertain delivery record for Heavy duty Escalators             |           |                      |
| 14     | --         | Documents to ascertain operation performance for Heavy duty Escalators       |           |                      |

SIGNATURE OF APPLICANT

*In case of a joint venture or consortium, to be submitted by each constituent member.*
REVISED EXPRESSION OF INTEREST (EOI) FOR PROVIDING
ESCALATORS ON LEASE BASIS FOR PHASE-IV OF DELHI METRO RAIL
CORPORATION LTD.

Annexure – III
EOI ‘L&E’
PART – B (Escalators)

III A. UNDERTAKING FOR SUBMISSION OF DOCUMENTS

III B. PARENT COMPANY UNDERTAKING

III C. PARENT COMPANY GUARANTEE

&

III D. UNDERTAKING FOR SUBMISSION OF PARENT COMPANY
UNDERTAKING & GUARANTEE
III D. UNDERTAKING FOR SUBMISSION OF PARENT COMPANY UNDERTAKING & PARENT COMPANY GUARANTEE - Part - A (Lifts)
(Refer Clause C1.8 of ITA)

Name of Consortium / JV / SPV :-
Name of Member / Firm:-
Role / Brief Scope of Work:-

The details of our parent company / companies are as under:-
_________________________________________________________________________
_________________________________________________________________________
We are fully competent to qualify on our own credentials.

Further, we here by confirm to submit following documents during Request For Proposal (RFP) Stage:-

(i). Parent Company Undertaking as required under Clause C1.8 of Instructions to Applicant as per ‘Annexure – III B’.

(ii). Parent Company Guarantee as required under Clause C1.8 of Instructions to Applicant as per ‘Annexure – III C’.

Company Name _____________________________
Name______________________________________
Signature___________________ Date: ___________
Postal Address ______________________________
E-Mail ID ___________________________________
Phone ___________________ FAX ______________

Company Seal:

*In case of a joint venture or consortium, to be submitted by each constituent member.
III D. UNDERTAKING FOR SUBMISSION OF PARENT COMPANY UNDERTAKING & PARENT COMPANY GUARANTEE - Part - B (Escalators)

(Refer Clause C1.8 of ITA)

Name of Consortium / JV / SPV: -
Name of Member / Firm: -
Role / Brief Scope of Work: -

The details of our parent company / companies are as under:-

_________________________________________________________________________________

We are fully competent to qualify on our own credentials.

Further, we here by confirm to submit following documents during Request For Proposal (RFP) Stage:-

(i). Parent Company Undertaking as required under Clause C1.8 of Instructions to Applicant as per ‘Annexure – III B’.

(ii). Parent Company Guarantee as required under Clause C1.8 of Instructions to Applicant as per ‘Annexure – III C’.

Company Name _____________________________
Name______________________________________
Signature___________________ Date: ___________
Postal Address ______________________________
E-Mail ID ___________________________________
Phone ___________________ FAX ______________

Company Seal:

*In case of a joint venture or consortium, to be submitted by each constituent member.